

Docket No. 265774US0X PCT



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Oliver MOELLER, et al.

SERIAL NO: 10/525,376

GAU: 1754

FILED: May 8, 2006

EXAMINER:

FOR: PROCESS FOR THE HYDROFORMYLATION OF OLEFINICALLY UNSATURATED COMPOUNDS, IN PARTICULAR OLEFINS, IN THE PRESENCE OF CYCLIC CARBONIC ESTERS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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			Docket No.: 265774US0X PCT	Serial No.: 10/525,376
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56			Inventor: Oliver MOELLER, et al.	
			Filing Date: May 8, 2006	Group: 1754

LIST OF RELATED CASES

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	0689-0722-0	6,015,928	01/18/00		GUBISCH, et al.
	9350-0094-0	6,239,318	05/29/01		SCHULER, et al.
	9350-0095-0	6,331,657	12/18/01		KAIZIK, et al.
	9350-0119-0	6,407,295	06/18/02		KAIZIK, et al.
	199151US0	6,403,836	06/11/02		KAIZIK, et al.
	204417US0	6,482,992	11/19/02		SCHOLZ, et al.
	215381US0	6,680,414	01/20/04		KNOOP, et al.
	218240US0	6,627,782	09/30/03		KAIZIK, et al.
	224342US0X	6,720,457	04/13/04		DREES, et al.
	257970US0XPCT	10/511,280	10/22/04	US2005/0182277 A1	TOTSCH, et al.
	258185US0	6,960,699	11/01/05		TOTSCH, et al.
	260382US0XPCT	10/511,595	11/02/04	US2006/0183936 A1	GRASS, et al.
	275625US0X	11/291,858	12/02/05	US2006/0128998 A1	LUEKEN, et al.
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	271996US0XPCT	10/538,475	06/07/05	US2006/0036121 A1	KAIZIK, et al.
	275713US0	11/296,310	12/08/05	US2006/0129004 A1	LUEKEN, et al.

*Present Application; listed for information
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Examiner

Date Considered



Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 265774US0X PCT		SERIAL NO. 10/525,376	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Oliver MOELLER, et al.			
				FILING DATE May 8, 2006		GROUP 1754	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AAA	6,015,928	01/18/00	GUBISCH, et al.			
	ABA	US 6,239,318 B1	05/29/01	SCHULER, et al.			
	ACA	US 6,331,657 B1	12/18/01	KAIZIK, et al.			
	ADA	US 6,407,295 B1	06/18/02	KAIZIK, et al.			
	AEA	US 6,403, 836 B2	06/11/02	KAIZIK, et al.			
	AFA	US 6,482,992 B2	11/19/02	SCHOLZ, et al.			
	AGA	US 6,680,414 B2	01/20/04	KNOOP, et al.			
	AHA	US 6,627,782 B2	09/30/03	KAIZIK, et al.			
	AIA	US 6,720,457 B2	04/13/04	DREES, et al.			
	AJA	US 2005/0182277 A1	08/18/05	TOTSCH, et al.			
	AKA	US 6,960,699 B2	11/01/05	TOTSCH, et al.			
	ALA	US 2006/0183936 A1	08/17/06	GRASS, et al.			
	AMA	US 2006/0128998 A1	06/15/06	LUEKEN, et al.			
	ANA	US 2006/0161017 A1	07/20/06	GRASS, et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AOA						
	APA						
	AQA						
	ARA						
	ASA						
	ATA						
	AUA						
	AVA						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AWA						
	AXA						
	AYA						
	AZA					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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	AAB	US 2006/0036121 A1	02/16/06	KAIZIK, et al.			
	ABB	US 2006/0129004 A1	06/15/06	LUEKEN, et al.			
	ACB	US 6,184,424 B1	02/06/01	BUESCHKEN, et al.			
	ADB	US 6,555,716 B2	04/29/03	PROTZMANN, et al.			
	AEB	US 2005/0256281 A1	11/17/05	GRUND, et al.			
	AFB	US 6,492,564 B1	12/10/02	WIESE, et al.			
	AGB	US 2004/0238787 A1	12/02/04	WIESE, et al.			
	AHB	US 2004/0242947 A1	12/02/04	BELLER, et al.			
	AIB	US 2005/0209455 A1	09/22/05	BOERNER, et al.			
	AJB	US 2006/0089469 A1	04/27/06	KOMAROV, et al.			
	AKB	US 2005/0171371 A1	08/04/05	BORNER, et al.			
	ALB	5,093,534	03/03/92	LUDWIG, et al.			
	AMB	US 6,403,837 B1	06/11/02	HESS, et al.			
	ANB	US 2003/0144559 A1	07/31/03	HESS, et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AOB						
	APB						
	AQB						
	ARB						
	ASB						
	ATB						
	AUB						
	AVB						
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	AWB						
	AXB						
	AYB						
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	AAC	US 2003/0195368 A1	10/16/03	ROTTGER, et al.			
	ABC						
	ACC						
	ADC						
	AEC						
	AFC						
	AGC						
	AHC						
	AIC						
	AJC						
	AKC						
	ALC						
	AMC						
	ANC						
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	APC						
	AQC						
	ARC						
	ASC						
	ATC						
	AUC						
	AVC						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AWC						
	AXC						
	AYC						
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